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\* Admitted in NY only  
\*\* Passed the Patent Bar Examination

July 17, 2001

**BOX MISSING PARTS**

Commissioner for Patents  
Washington, D.C. 20231

Re: U.S. Patent Application No.: 09/706,078  
*Production Server For Automated Control of  
Production Document Management*  
Inventors: David Forrest Squires *et al.*  
Filed: November 3, 2000  
Your Ref. No.: DA0417  
Our Ref. No.: XXT-064

Dear Sir:

I enclose herewith for filing in the above-identified application the following:

1. Copy of Notice to File Missing Parts of NonProvisional Application (1p);
2. Response to Notice to File Missing Parts (2pp);
3. Request for Extension of Time (1p);
4. Request for Corrected Filing Receipt (1 p);
5. Copy of Official Filing Receipt with changes noted in RED ink (1 p);
6. Declaration, Petition and Power of Attorney (4 pp); and
7. Return mailroom postcard.

Please charge Deposit Account No. 24-0037 in the amounts of \$1,390.00 and \$130.00. No additional costs are believed to be due in connection with the filing of this Response to Notice to File Missing Parts. However, please charge any necessary fees in connection with the enclosed Response to Deposit Order Account No. 24-0037. For this purpose, a duplicate of this sheet is attached.

I hereby certify that this correspondence is deposited with the United States Postal Service as first class mail in an envelope addressed to: BOX MISSING PARTS, Commissioner for Patents, Washington, D.C. 20231 on:

July 17, 2001

Peter Stecher Date  
Peter S. Stecher, Reg. No. 47,259

Respectfully submitted,  
LAHIVE & COCKFIELD, LLP

Peter Stecher  
Peter S. Stecher  
Registration No. 47,259  
Date: July 17, 2001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#4



In re the application of: David Forrest Squires *et al.*

Serial No.: 09/706,078

Filed: November 3, 2000

FOR: *Production Server For Automated Control of  
Production Document Management*

Attorney Docket No.: **XXT-064**

Group Art Unit: 2176

Examiner: Not Yet Assigned

**BOX MISSING PARTS**

Commissioner for Patents  
Washington, D.C. 20231

**Certificate of First Class Mailing (37 CFR 1.8(a))**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: BOX MISSING PARTS, Commissioner for Patents, Washington, D.C. 20231 on the date set forth below.

July 17, 2001

Date of Signature and of Mail Deposit

By:

*Peter Stecher*

Peter S. Stecher

Reg. No. 47,259

**RESPONSE TO NOTICE TO FILE MISSING PARTS  
OF NON-PROVISIONAL APPLICATION**

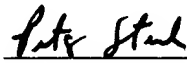
Dear Sir:

Responsive to the Notice to File Missing Parts of Non-Provisional Application dated February 12, 2001, Applicants' submit herewith the executed Declaration, Petition and Power of Attorney for the above-identified patent application. Please charge Deposit Account No. 24-0037 in the amount of \$1,390.00 (extension fee) and \$130.00 (surcharge fee).

Re: 09/706,078

Please charge any underpayments or credit any overpayments associated with this communication to Deposit Account No. 24-0037. A duplicate of this letter is enclosed.

Respectfully submitted,



---

Peter S. Stecher  
Registration No. 47,259

LAHIVE & COCKFIELD, LLP  
28 State Street  
Boston, MA 02109  
Tel. (617) 227-7400  
Dated: July 17, 2001



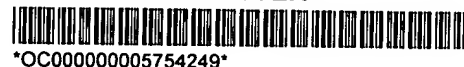
## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/706,078	11/03/2000	David Forrest Squires	XXT-064(D/A0147)

000959  
LAHIVE & COCKFIELD  
28 STATE STREET  
BOSTON, MA 02109

## FORMALITIES LETTER



\*OC000000005754249\*

Date Mailed: 02/12/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

07/23/2001 BHUYEN1 00000087 240037 09706078

01 FC:105 130.00 CH



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of: David Forrest Squires *et al.*

Serial No.: 09/706,078

Filed: November 3, 2000

For: *Production Server for Automated Control of  
Production Document Management*

Attorney Docket No.: **XXT-064**

Group Art Unit: 2176

Examiner: Not Yet Assigned

# 5

**BOX MISSING PARTS**

Commissioner for Patents  
Washington, DC 20231

**REQUEST FOR CORRECTED FILING RECEIPT**

Dear Sir:

The Filing Receipt for the above-identified patent application incorrectly lists the Attorney's Docket Number as "XXT-064 (D/A147)" instead of "XXT-064 (DA0147)". Also, the address for David Forrest Squires should be Glendale, CA. A copy of the Filing Receipt with the changes noted thereon is submitted herewith.

I hereby certify that this correspondence is deposited with the United States Postal Service as first class mail in an envelope addressed to: BOX MISSING PARTS, Commissioner for Patents, Washington, DC 20231 on:

July 17, 2001

Date

*Peter Stecher*

Peter S. Stecher, Reg. No. 47,259

Respectfully submitted,  
LAHIVE & COCKFIELD, LLP

*Peter Stecher*

Peter S. Stecher

Registration No. 47,259

Date: July 17, 2001



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/706,078	11/03/2000	2176	728	XXT-064 (DA0147) (DA0147)	14	21	3

000959  
LAHIVE & COCKFIELD  
28 STATE STREET  
BOSTON, MA 02109

## FILING RECEIPT



\*OC000000005754248\*

Date Mailed: 02/12/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

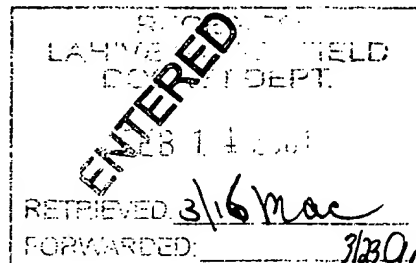
## Applicant(s)

*Glendale, CA*  
David Forrest Squires, ~~Residence Not Provided;~~  
Sudhendu Rai, Fairport, NY ;

## Continuing Data as Claimed by Applicant

## Foreign Applications

If Required, Foreign Filing License Granted 02/09/2001



## Title

Production server for automated control of production document management

## Preliminary Class

707

Data entry by : TYSON, LASHONNAH

Team : OIPE

Date: 02/12/2001

